

MAY 13 2005

PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 30**Complete If Known**

Application Number	10/770,353
Filing Date	02/02/2004
First Named Inventor	Ganapathy Krishnan
Art Unit	3621
Examiner Name	John M. Winter
Attorney Docket Number	35040.001C4

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 3,996,449	12/07/1976	Attanasio et al.	
		US- 4,104,721	01/01/1978	Markstein et al.	
		US- 4,120,030	10/10/1978	Johnstone	
		US- 4,168,396	09/18/1979	Best	
		US- 4,183,085	01/08/1980	Roberts et al.	
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		US- 4,523,271	06/11/1985	Levien	
		US- 4,525,599	06/25/1985	Curran et al.	
		US- 4,558,176	12/10/1985	Arnold et al.	
		US- 4,562,305	12/31/1985	Gaffney, Jr.	
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		US- 4,577,289	03/18/1986	Comerford et al.	
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		US- 4,609,777	09/02/1986	Cargile	
		US- 4,609,985	09/02/1986	Dozier	

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2/6/2005

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Sheet

2

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On		US- 4,621,321	11/04/1986	Boebert et al.	
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OM		US- 5,497,421	03/05/1996	Kaufman et al.	
		US- 5,537,314	07/16/1996	Kanter	
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		Number-Kind Code ² (if known)			
CJW		US- 6,067,582	05/23/2000	Smith et al.	
		US- 6,108,784	08/22/2000	Seidensticker, Jr.	
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		US- 4,471,216	09/11/1984	Herve	
		US- 4,513,174	04/23/1985	Herman	

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		US- 4,562,305	12/31/1985	Gaffney, Jr.	
		US- 4,562,306	12/31/1985	Chou et al.	
		US- 4,577,289	03/18/1986	Comerford et al.	
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		US- 4,621,321	11/04/1986	Boebert et al.	
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		US- 4,866,769	09/12/1989	Karp	
		US- 5,014,234	05/07/1991	Edwards, Jr.	
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		US- 5,724,425	03/03/1998	Chang et al.	
		US- 4,995,082	02/19/1991	Schnorr	

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		WO 98/58306 A1	12/23/1998	TECHWAVE, INC.		

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6

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
On		PAREKH, Michael K., et al., "RealNetworks, Inc., Leading Media Delivery for the Digital Age," January 31, 2000	
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		DATE, C.J., "An Introduction to Database Systems," Volume 1, 1986	
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		KAHN, Robert et al., "A Framework for Distributed Digital Object Services," May 13, 1995,	
		DINI, Gianluca et al., "A Replicated File System for Wide-Area Networks,"	
		AMMANN, Paul E., et al., "One-Representative Safety Analysis in the Non-Monotonic Transform Model," 1994	
		SANDHU, Ravi S., et al., "On Testing for Absence of Rights in Access Control Models," June 14, 1994	
		ZURKO, Mary Ellen, et al., "Attribute Support for Inter-Domain Use," June 15, 1993	
✓		SANDHU, Ravi et al., "A Secure Kernelized Architecture for Multilevel Object-Oriented Databases," IEEE June 16, 1992	

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CM		PICCIOTTO, Jeffrey et al., "Extended labeling policies for enhanced application support," IEEE, June 18, 1991	
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		CALAS, Christel, "Distributed file system over a multilevel secure architecture problems and solutions," International Conference on Cryptology, Jan. 8, 1990	
✓		CALAS, Christel, " GDoM a multilevel document manager," Computer Security ESORICS, Nov. 7, 1994	

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				Filing Date	02/02/2004
				First Named Inventor	Ganapathy Krishnan
				Art Unit	3621
				Examiner Name	John M. Winter
Sheet	8	of	30	Attorney Docket Number	35040.001C4

NON PATENT LITERATURE DOCUMENTS					
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<i>JM</i>		RUSSELL, S., "Planning for the EDI of Tomorrow Using Electronic Document Authorization," Computer Security, ESORICS 92, Nov. 23, 1992			
		LIN, Ping., "The Encapsulated Security Services Interface (ESSI)," Computer Security, May 12, 1993			
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		WONG, Raymond, et al., "The SDOS System: A Secure Distributed Operating System Prototype," , U.S. Air Force			
		SEBES, John E., "Overview of the Architecture of Distributed Trusted Mach," Mach Symposium			
		BENZEL, Terry C. Vickers, et al., "Identification of Subjects and Objects in a Trusted Extensible Client Server Architecture,"			
<i>V</i>		BORENSTEIN, Nathaniel Borenstein, et al., "MIME Extensions for Mail-enabled Applications: application/Safe-Tcl and multipart/enabled-mail, November 1993			

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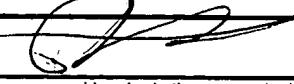
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OM		HARRIS, Jed, et al., "Bento Specification," Apple Computer, Inc., July 15, 1993	
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		MERKLE, Ralph C., "Secure Communications Over Insecure Channels," University of California, Berkeley, Vo. 21, No. 4, April 1978	
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Q		HAUSER, Ralf C., "Does Licensing Require New Access Control Techniques?", August 12, 1993		
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QW		OLIVER, M.S. et al., "Building a Secure Database Using Self-Protecting Objects," Computers & Security, Vol. 11, No. 3, 1992			
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		"iPower's Data Security Approach," RSA Security, January 12, 1994			
		"General Magic Picks RSA," RSA Security, January 12, 1994			
		"Enterprise Solutions Announces RSA Mail," RSA Security, January 12, 1994			
		"Hewlett-Packard Chooses RSA," RSA Security, January 12, 1994			

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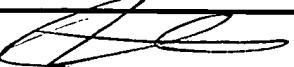
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<i>Ch</i>		"Higraeve Ships Secure Version of HyperACCESS/5," RSA Security, January 12, 1994	
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		THOMPSON, Ken., "Reflections on Trusting Trust," Communication of the ACM, Vol. 27, No. 8, August 1984	
		BERTINO, Elisa, "Data Hiding and Security in Object-Oriented Databases," IEEE, 1992	

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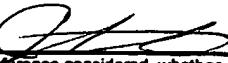
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M		TANNENBAUM, Todd, "Netscape Surfs the WorldWide Web Better Than Mosaic," Network Computing	
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		MOFFETT, Jonathan D., et al., "User and Mechanism Views of Distributed Systems Management," Systems Engineering Journal, Vol. 1, No. 1, Aug. 1993	
V		SLOMAN, Morris, et al., "Managing Distributed Systems," Domino: A1/IC/1.2, Sept. 25, 1989	

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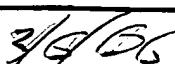
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		National Information Systems Security (INFOSEC) Glossary, Sept. 2000	

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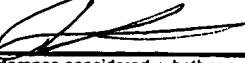
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		EPSTEIN, Jeremy et al., "A Trusted X Window System Server for Trusted Mach," Nov. 1, 1993	
		SANDHU, Ravi S., et al., "On the Minimality of Testing for Rights in Transformation Models," IEEE, May 16, 1994	
		KELTER, Udo, "Discretionary Access Controls in a High-Performance Object Management System," IEEE, May 20, 1991	

Examiner Signature		Date Considered	3/6/06
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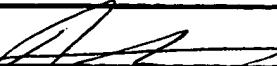
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/770,353
Sheet	17	of	30	Filing Date	02/02/2004
				First Named Inventor	Ganapathy Krishnan
				Art Unit	3621
				Examiner Name	John M. Winter
				Attorney Docket Number	35040.001C4

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>M</i>		BALDWIN, Robert W., "Naming and Grouping Privileges to Simplify Security Management in Large Databases," IEEE May 7, 1990	
		KEEFE, T.F., et al., "Multiversion Concurrency Control for Multilevel Secure Database Systems," IEEE May 7, 1990	
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		SIMMONS, Gustavus, J., "How to (Selectively) Broadcast a Secret," Sandia National Laboratories, IEEE 1985	
		PURDY, George B., et al., " A Software Protection Scheme," IEEE 1982	
		MAUDE, Tim, et al., "Hardware Protection Against Software Piracy," Communications of the ACM, Vol 27, No. 9, Sept. 1984	
<i>V</i>		LIPSON, Steve, "Little black box 'blocks' illicit software copying"	

Examiner Signature		Date Considered	3/6/06
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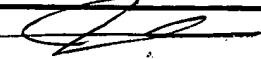
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/770,353
Sheet	18	of	30	Filing Date	02/02/2004
				First Named Inventor	Ganapathy Krishnan
				Art Unit	3621
				Examiner Name	John M. Winter
				Attorney Docket Number	35040.001C4

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<i>M</i>		ESKICIÖGLU, Almet M., et al., "An overview of multimedia content protection in consumer electronics devices," Purdue University,		
		SANDHU, Ravi S., et al., "Implementation Considerations for the Typed Access Matrix Model in a Distributed Environment," Nat. Computer Security Cof., Oct. 1992		
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		"A Guide to Understanding Security Modeling in Trusted Systems," Oct. 1992		
		SANDHU, Ravi, S., "The Typed Access Matrix Model," IEEE, May 4, 1992		
		BERTINO, Elisa, "Object-Oriented Database Systems"		
<i>V</i>		LAMB, et al., "The Objectstore Database System," Oct. 1991		

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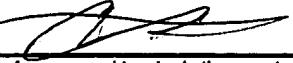
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Application Number	10/770,353
Filing Date	02/02/2004
First Named Inventor	Ganapathy Krishnan
Art Unit	3621
Examiner Name	John M. Winter

Attorney Docket Number 35040.001C4

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CY		GRAUBARI, Richard, "A Comparison of Three Secure KBMS Architectures," DATABASE SECURITY, 1990	
		KRISTOL, David M., et al., "Anonymous Internet Mercantile Protocol," AT&T Bell Laboratories, March 17, 1994	
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		BISHOP, Matt, "Anatomy of a Proactive Password Changer," Department of Mathematics and Computer Science, Dartmouth College	
		WELSH, Dominic, "Codes and Cryptography," Oxford Science Publications	
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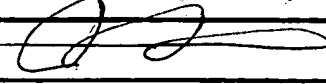
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Application Number	10/770,353
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First Named Inventor	Ganapathy Krishnan
Art Unit	3621
Examiner Name	John M. Winter
Attorney Docket Number	35040.001C4

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G		ARNEKE, David et al., "AT&T encryption system protects information services," AT&T, January 9, 1995	
		Department of Defense Standard, "Department of Defense Trusted Computer system Evaluation Criteria," Dec. 26, 1995	
		Neuman, B. Clifford, "Proxy-Based Authorization and Accounting for Distributed Systems," 13th International Conference on Distributed Computing Systems, May 1993	
		LAMPSON, Butler, W., "Computer Security," Digital Equipment Corporation, July 1990	
		BIRRELL, Andrew D., "A Global Authentication Service without Global Trust," Digital Equipment Corporation, IEEE April 1986	
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		Coalition for Networked Information, Nov. 21, 1991	
		ZELEZNIK, Michael P., "Security Design in Distributed Computing Application," Dec. 1993	
		THOMAS, Roshan K., "Implementing the Message Filter Object-Oriented Security Model without Trusted Subjects," George Mason University, Aug. 19, 1992	

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(J)		SANDHU, Ravi, S., et al., "A Secure Kernelized Architecture for Multilevel Object-Oriented Databases," IEEE, June 1991	
		SANDHU, Ravi, S., et al., "Supporting Timing-Channel Free Computations in Multilevel Secure Object-Oriented Databases," Workshop on Database Security, Nov. 4, 1991	
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		LAMPSON, Butler, W., et al., "Protection," Proc. 5th Princeton Conf. on Information Sciences and Systems, Princeton, 1971	
		VAN WIE, David, "The InterTrust Approach to Electronic Commerce"	
		FINE, Todd, et al., "Assuring Distributed Trusted Mach," Secure Computing Corporation	
		MINEAR, Spencer, E., "Providing Policy Control Over Object Operations in a Mach Based System," Secure Computing Corporation, April 28, 1995	
		ROSCHEISEN, R. Martin, "A Network-Centric Design for Relationship-Based Rights Management," Stanford University, December 1997	
		YOUNG, William, D., "Verifiable Computer Security and Hardware: Issues," Comutational Logice Inc., Sept. 1991	

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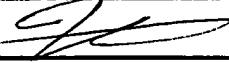
Application Number	10/770,353
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Sheet 22 of 30

Attorney Docket Number 35040.001C4

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SN		FEIERTAG, Richard J., et al., "The foundations of a provably secure operating system (PSOS), SRI International, AFIPS Press, 1979	
		DENNING, Adam, "Ole Controls Inside Out," Microsoft Press	
		DENNING, Dorothy Elizabeth Robling, "Cryptography and Data Security," Purdue University, January 1983	
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		DOUGHERTY, Dale, et al., "The Mosaic Handbook for the X Window System," October 1994	
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		GILBRETH, William J., et al., "Computer Software and the Internet," Practising Law Institute, 1995	
		BROCKSCHMIDT, Kraig, "Inside OLE 2," 1994	
V		STAMOS, James W., et al., "Remote Evaluation," ACM Transactions on Programming Languages and Systems, Vol. 12, No. 4, Oct. 1990	

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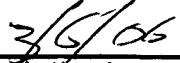
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Q		WOLFSON, C. David, et al., "Intelligent Routers," Siemens Corporation, IEEE 1989	
		JUL, Eric, et al., "Fine-Grained Mobility in the Emerald System," University of Washington, ACM Transactions on Computer systems, Vol. 6, No. 1, Feb. 1988	
		BLACK, Andrew, et al., "Distribution and Abstract Types in Emerald," IEEE 1987	
		RAJ, Rajendra K. et al., "Emerald: A General-Purpose Programming Language," Software-Practice and Experience, Vol. 21(1), Jan. 1991	
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		Broustaut, Frederic, et al., "Verification of ANDF components," ACM, 1995	
		POGUE, David, "The Test of Time: A decade of products that keep on ticking," August, 1987	
		IOANNIDIS, John, et al., "The Architecture and Implementation of Network-Layer Security Under Unix," Columbia University and AT&T Bell Labs.	
		LINN, J., "Privacy Enhancement for Internet Electronic Mail: Part I: Message Encryption and Authentication Procedures," Feb. 1993	
		BERNERS-LEE, T.J., et al., "The world-wide web," Science Pub., 1992	

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Q		RIVEST, R.L., et al., "A Method for Obtaining Digital Signatures and Public-Key Cryptosystems," Communications of the ACM, Vol. 21, No. 2, Feb. 1978	
		BENJAMIN, Robert, et al., "Electronic Markets and Virtual Value Chains on the Information Superhighway," Sloan Management Review, Winer 1995	
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		"Think Pascal," User Manual, 1990	
V		LISKOV, Barbara, et al, "Theta Reference Manual," MIT, Feb. 8, 1995	

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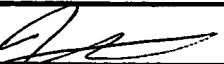
Application Number	10/770,353
Filing Date	02/02/2004
First Named Inventor	Ganapathy Krishnan
Art Unit	3621
Examiner Name	John M. Winter

Attorney Docket Number

35040.001C4

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
QJ		WAYNER, Peter., "Disappearing Cryptography," AP Professional 1996	
		SINGH, Simon, "The Code Book, The Science of Screcy from Ancient Egypt to Quantum Cryptography" Anchor Books, 1999	
		BROWN, George, W., et al., "EDUNET: Report of the Summer Study on Information Networks," John Wiley & Sons, 1967	
		KAHN, David, "The Codebreakers: The Story of Secret Writing," The Macmillan Company, 1967	
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		DEAVOURS, Cipher A., et al., "Selections from Cryptologia, History, People, and Technology," Artech House	
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		BLAZE, Matt, "A Cryptographic File System for Unix," AT&T Bell Laboratories, Nov. 3, 1993.	
		FERRAIOLI, David, "Role-Based Access Control," Computer Security Conf. 1992	

Examiner Signature		Date Considered	3/6/06
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Sheet	26	of	30	Attorney Docket Number	35040.001C4

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<i>CM</i>		HARDY, Norman, "The Keyko Architecture," Key Logic, Sept. 1985	
		MILLER, S.P., et al., "Kerberos Authentication and Authorization System," MIT, Oct. 27, 1988	
		"OpenDoc vs. OLE 2.0: Superior by Design, (A Developer's View), Jan. 1994	
		BLAZE, Matt, et al., "Decentralized Trush Management," AT&T Research, IEEE, May 1996	
		"SKIPJACK and KEA Algortihm Specifications," Version 2.0, May 29, 1998	
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		BRICKELL, Ernest F., et al., "The SKIPJACK Algorithm," BBN Communications Corp., July 28, 1993	
		BLAZE, Matt., "Key Managemnt in Encrypting File System," AT&T Bell Laboratories	
		WOO, Thomas Y.C., et al., "A Framework for Distributed Authorization," University of Texas at Austin, Nov. 1993	
		ROBINSON, D. et al., "Encoding Header Field for Internet Messages," Prime Computer, Inc., April 1990	

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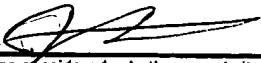
of

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Application Number	10/770,353
Filing Date	02/02/2004
First Named Inventor	Ganapathy Krishnan
Art Unit	3621
Examiner Name	John M. Winter
Attorney Docket Number	35040.001C4

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CJ		Rose, M., et al., "Structure and Identification of Management Information for TCP/IP-based Internets," Hughes LAN Systems, May 1990	
		DAVIN, J., et al., "SNMP Administrative Model," MIT, July 1992	
		GALVIN, J., et al., "SNMP Security Protocols," MIT, July 1992	
		MCCLOGHRIE, K., et al., "Definitions of Managed Objects for Administration of SNMP Parties," Hughes LAN Systems, Inc. July 1992	
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		COLLBERG, Christian S., et al., "Watermarking, Tamper-Proofing, and Obfuscation - Tools for Software Protection," University of AZ., Feb. 10, 2000	
		WOLFE, David, "The BBS Construction Kit," Katherine Schowalter, 1994	
		SHEFSKI, William, J., "Free Electronic Networks," Prima Computer Books, 1994	
V		KROL, Ed., "The Whole Internet User's Guide & Catalog," Sept. 1992	

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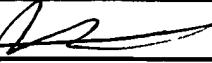
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		Application Number	10/770,353
		Filing Date	02/02/2004
		First Named Inventor	Ganapathy Krishnan
		Art Unit	3621
Examiner Name	John M. Winter		
Sheet	28	of	30
		Attorney Docket Number	35040.001C4

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OJ		WINSLOW, Ron, "For Software Firms, Question is how to cope with Piracy," The Wall Street Journal, April 12, 1985	
		SIMMONS, Gustavus J., "How to Selectively Broadcast a Secret," Sandia National Laboratories, IEEE 1985	
		PURDY, George B. et al., "A software Protection Scheme," IEEE, 1982	
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		RASHID, Richard F., "CMU Computer Science A 25th Anniversary Commemorative," Carnegie Mellon University, 1991	
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\		HANSEN, Stephen E., "automated system Monitoring and Notification with Swatch," Nov. 1, 1993	

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<i>Ch</i>		BELLOVIN, Steven M., "There Be Dragons," UNIX Security Sympisum, Aug. 1992	
		HALLER, Neil M., "The S/Key One-Time Password System," Bellcore	
		Varadhan, Kannan, "OARnet Security Procedures," OARnet, Spet. 15, 1992	
		BELLOVIN, Steven M. et al., "Augmented Encrypted key Exchange: a Password-Based Protocol Secure Against Dictionary Attacks and Pasword File Compromise," Bell Labs	
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		WHITE, James, E., "Mobile Agents," Aug. 8, 1995	
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<i>V</i>		KOHL, John., "The Kerberos Network Authentication Service," MIT, June 30, 1991	

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Sheet 30

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		MENEZES, Alfred J., "Handbook of Applied Cryptography," 1965	
		PRESS, Larry., "National Information Infrastructures: The Internet and Interactive Television," Conf., on The Electronic Highway, University of Montreal, 1994	
		"Policy and Technology: Partners in Solutions," 19th National Information systems Security Conference, Oct. 22, 1996	
		HALFHILL, Tom R., et al., "Just Like Magic?" February 1994	
		CLARK, Jim, "A TeleComputer," Computer Graphics, Vol. 26, July 2, 1992	

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